#### **REMARKS**

This Preliminary Amendment cancels the original claims, without prejudice, in the underlying PCT Application No. PCT/DE03/01685. The Preliminary Amendment also adds new claims 11-20. The new claims conform the claims to U.S. Patent and Trademark Office rules and do not add new matter to the application.

In accordance with 37 C.F.R. § 1.125(b), the Substitute Specification (including the Abstract) contains no new matter. The amendments reflected in the Substitute Specification (including Abstract) are to conform the Specification and Abstract to United States Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. §§ 1.121(b)(3)(ii) and 1.125(c), a Marked-Up Version of the Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

The underlying PCT Application No. PCT/DE03/01685 includes an International Search Report, dated October 10, 2003, a copy of which is submitted herewith.

Applicants assert that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully submitted,

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[10191/3625]

# METHOD AND DEVICE FOR DRIVER INFORMATION AND FOR A REACTION WHEN LEAVING THE TRAFFIC LANE

#### Background InformationField Of The Invention

The present invention relates to a method and a device for driver information and a reaction upon departure from the traffic lane, or, more accurately, in response to the leaving of the lane that is to be expected.

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#### **Background Information**

Warning systems, which warn the driver when he leaves the lane, or rather, threatens to leave the lane, are known in the related art. EP 1074430 A1, European Published Patent Application No. 1074430, for example, shows a procedure in which, based on image sensor systems, the lane in which the vehicle is moving is ascertained, and the driver is warned when the vehicle leaves the lane or threatens to do so. Information on the exact boundary conditions, under which such a warning is given, is not stated. In particular, the respective driving situation is not taken into consideration, so that disagreeable false warnings to the driver may occur.

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From DEGerman Published Patent Application No. 196 37 053-A1 it is known that one may detect objects on a neighboring traffic lane, with the aid of an angle-resolving distance and speed sensor, preferably a radar or laser sensor, and ascertain their travel direction in the light of the relative speed of these objects.

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#### Summary of the Invention

By taking into consideration the driving situation for triggering the warning and/or the vehicle intervention (reaction) upon leaving the traffic lane, false warnings or false reactions are effectively avoided. Warnings of the driver or reactions for avoiding leaving the traffic lane therefore take place only in response to a relatively certainly recognized danger situation. Thus by using the measure described, the utility and the acceptance of a corresponding system are greatly enhanced.

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In an advantageous manner, the driving situation on the neighboring traffic lane is recognized in the light of the kind of traffic lane markings and/or the type of traffic in whose direction the leaving of the lane is to be expected. In one concrete application, when a broken line is

recognized as a traffic lane marking, no warning is undertaken, or a more inconspicuous warning and no vehicle intervention. In another application, a neighboring lane for traffic in the same travel direction is regarded as a travel situation in which a warning and a reaction upon leaving the traffic lane may be undesired, and consequently suppression of the warning and the reaction takes place, or an inconspicuous warning is generated. By contrast to this, upon recognition of an unbroken line, or, in response to a neighboring lane for traffic in the opposite direction, a dangerous situation having a high probability should be present in response to leaving, or threatening to leave the traffic lane, so that, in such a situation, a warning and/or a reaction is meaningful. If the vehicle crosses a line in the direction of a lane which is known, e.g. from the information of a navigation system as a breakdown lane, the warning may be delayed or undertaken using less intensity.

Also advantageous is a combination of the criteria of the kind of traffic lane marking and the type of traffic situation in the neighboring lane. In that case, given a broken line and a neighboring traffic lane for traffic in the driving direction, the warning or the reaction is suppressed, or an inconspicuous warning is generated, whereas in the case of a broken line and a neighboring lane for traffic in the opposite direction, as well as in the case of unbroken markings, a warning and a reaction take place.

In an especially advantageous manner, the data that lead to the derivation of the traffic situations are ascertained from image sensor signals, and perhaps in supplementary fashion from distance sensor signals or from data of a navigation system. The expenditure for implementing the procedure described is decreased by using sensors and data that are present anyway.

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In an advantageous manner, by using sensor systems that ascertain data forwards, backwards and/or sideways with respect to objects present, the accuracy of the warning and the reaction may be further enhanced. In this case, a warning and a reaction take place if the traffic lane is left or leaving the lane is threatened and, possibly in response to detected broken marking lines, there are indeed objects on the neighboring lane in the surroundings of the vehicle, which are particularly moving in the same travel direction.

Additional advantages result from the following description of exemplary embodiments and from the dependent claims.

#### Brief Description of the Drawings

The present invention is explained in greater detail below, on the basis of the specific embodiments depicted in the drawings.

Figure 1 shows, in this context, a block diagram of a device for warning a driver and for a

reaction when the vehicle threatens to leave the lane.

Figure 2 *shows* a flow chart is sketched in which a preferred procedure for triggering the driver warning is shown.

This procedure is made clearer in the light of the sketches of Figure 3.

Figure 3a shows a first illustration of a threat of crossing a solid line.

Figure 3b shows a second illustration of a threat of crossing a solid line.

Figure 3c shows a first illustration of a threat of crossing a broken line.

Figure 3d shows a second illustration of a threat of crossing a broken line.

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#### **Detailed Description of the Exemplary Embodiments**

Figure 1 shows a device used for warning the driver and for a reaction in response to future leaving of the lane of the vehicle. What is shown is a control or evaluation unit 10, which has an input circuit 12, a microcomputer 14 and an output circuit 16. These elements are interconnected via a bus system for mutual data exchange. Input lines from various measuring devices, via which measuring signals and measuring data are transmitted, are supplied to input circuit 12. A first input line 20 connects input circuit 12 to an image sensor system 22, which picks up the scene in front of the vehicle. Corresponding image data are transmitted via input line 20. Furthermore, input lines 24 to 28 are provided which connect input circuit 12 to measuring devices 30 to 34. These measuring devices are, for example, measuring devices for measuring the vehicle speed, for recording the steering angle and for recording additional operating variables of the vehicle, which have meaning in connection with the procedure described below. At least one warning device 38 is activated via output circuit 16 and output line 36, for instance, a warning lamp and/or a loudspeaker for an acoustical warning or a voice response and/or a display for displaying an image, with the aid

of which the driver is informed and/or warned before the departure, that is to be feared, from the lane, according to the procedure shown below. In addition, or alternatively, it is provided in some exemplary embodiments that one should activate a controlling system 42 via output circuit 16 and an output line 40, which automatically leads back into the lane, for instance by an intervention in the steering of the vehicle, and thus prevents leaving the lane.

In the preferred exemplary embodiment, the recognition of the lane markings is made by an image sensor system, preferably a camera system. By analysis of the pictures taken, especially in the light of the gray-scale value distribution, an image evaluation unit recognizes edge markings whose pattern is ascertained as a mathematical function and a differentiation is made as to whether the lines are broken or solid. The image sensor system is also used in one embodiment to observe objects in the surroundings of the traffic lane of the vehicle, and consequently also in neighboring lanes (left or right). In this context, same as above, customary object recognition methods are used. In the light of successive exposures and the speed of one's own vehicle, based on these data, one may ascertain whether a detected object in a neighboring lane is traveling in the same direction or in the opposite direction. In this way one may record the travel situation (type of marking and or kind of neighboring lane and/or traffic situation). Instead of the image sensor system, in other embodiments other sensors are used to record the driving situation, such as radar sensors, laser sensors, etc. Furthermore, in other embodiments sensors are present which detect objects all the way around the vehicle and which ascertain the distances from these objects. In these cases, one may not only ascertain the travel direction in a neighboring lane, but rather the actual presence of vehicles and their direction of movement in the neighboring lane.

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Based on the data concerning at least one travel lane edge marking, whose pattern is shown as a mathematical equation, as well as the future trajectory of one's own vehicle ascertained, for example, based on the steering angle, etc, in response to intersection or inadmissible close approach of these two functions, it is determined that leaving the lane is to be expected. If this is the case, and if there is a travel situation in which one may assume a heightened potential of danger, a warning is emitted to the driver, acoustically and/or optically and/or haptically, or by a purposeful intervention in the course of the vehicle, such as by a steering intervention, a correction of the course is undertaken. In this context, the warning is clear, such as loud tones, fading in a large, possibly colored warning notice, etc.

If a solid line is recognized as edge an marking, such as a solid center line or edge line, if there is a threat of crossing these edge lines, a warning and a reaction are undertaken. In the case of broken lines, such as broken center lines, in one preferred exemplary embodiment no warning and no reaction are generated. In another embodiment, in this case, an inconspicuously fashioned warning takes place, such as a soft tones, a smaller optical representation, less conspicuous colors, etc.

If observation of the neighboring lane yields no information about the direction of travel in the neighboring lane, no (less conspicuous) warning and no reaction are generated. If the travel direction of traffic in the neighboring lane is known, no warning is emitted or the warning is designed to be inconspicuous if the driver changes to a traffic lane having traffic in the same direction. If he changes to a lane having traffic in the opposite direction, a warning is emitted. The vehicle intervention (reaction) takes place in one case and not in the other.

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In another exemplary embodiment it is ascertained from the data of a navigation system that are present whether the vehicle is on a road on which the respective neighboring lane is being used by vehicles going in the same direction, or which represent traffic in the opposite direction.

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In still another embodiment, if there is a solid line, a warning is always given, and in the case of a broken line only if the neighboring lane was recognized as being a lane for oncoming traffic.

- Depending on the exemplary embodiment and the outfitting with sensors, it may be provided that, in the case of a broken line a warning is emitted if, via the sensor system, actually present objects in the neighboring lane are detected in the same direction of travel, near the vehicle.
- In one exemplary embodiment, a driving situation that has once been recognized is assumed until other information is present. If, for example, it is recognized that the vehicle is on its way in a traffic lane having oncoming traffic, a traffic lane having traffic in the same direction is assumed only if this is reliably recognized. The corresponding thing is true for recognizing a driving situation having oncoming traffic.

If a warning is suppressed, and if it is recognized at the same time that the vehicle will probably leave the lane, then, in one preferred exemplary embodiment, in this situation, a blinker noise is automatically put out for the driver as inconspicuous information. In one preferred exemplary embodiment, in addition in this situation, the respective blinker is switched on in order to inform other traffic participants.

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The above described procedure is implemented using programs which are implemented on microcomputer 14. The latter generates the output signals for the warning or vehicle intervention, with the aid of the programs and according to the input signals supplied. In the light of a flow diagram, a preferred exemplary embodiment of the procedure represented above is shown below.

The program shown in Figure 2 is run through when it has been recognized that there is a danger that the vehicle will leave its lane. In first step 100 it is determined whether the marking, whose crossing is being threatened, is a solid or a broken line. This is done based on the corresponding information from the image evaluation unit. If the edge marking is a solid line, then, according to step 108, a driver warning/vehicle intervention takes place, for example, by acoustical and/or optical and/or haptic warning, or by intervention in the vehicle steering. However, if, in step 100, it was recognized that the lane marking, the crossing of which is being threatened, is a broken line, then in step 102 the traffic situation with respect to the neighboring lane, to which one could change, is checked. As was described above, it is checked, using a relative speed signal, whether the traffic moving on the neighboring lane is on its way in the same travel direction or in the opposite direction. In this context, a marker is set if, possibly several times in a row, objects in the respective neighboring lane have been recognized that are oncoming. If the marker has been set, step 108 follows with a driver warning/vehicle intervention. The marker is canceled when it has been certainly recognized (i.e. several times in a row) that objects in the neighboring lane are traveling in the same direction. If the marker has been canceled, in step 104, no warning/vehicle intervention is undertaken; in one embodiment, automatically a blinker noise generated or an optical indication given. Optionally then, in step 106 the corresponding blinker is switched on in order to inform other traffic participants. After step 106 or 108, the program is continued again for the ascertainment of the danger of crossing an edge marking. In other embodiments, in response to a recognized broken line and traffic in the driving direction, a warning is generated if an object has been recognized close to the vehicle, and/or in the case of oncoming traffic, if an oncoming vehicle is actually recognized. In the latter MARKED-UP COPY NY01 889224 v1

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case, an inconspicuous, or no warning is emitted if the neighboring traffic lane is actually for oncoming traffic, but no actual oncoming traffic has been recognized.

In Figure 3 various driving situations are shown in which, upon leaving the lane or when there is a threat of leaving the lane, a driver warning takes place or does not. In Figures 3a and 3b there is a threat of crossing a solid line, so that, in this case, independently of the driving situation, a warning occurs. In Figures 3c and 3d there is a threat of crossing a broken line. In this case, as shown above, a warning occurs if there is oncoming traffic in the neighboring lane or if oncoming traffic is to be expected. This situation is depicted in Figure 3d, in which, therefore, a driver warning takes place. In Figure 3c, on the other hand, the vehicle in the neighboring lane is traveling in the same direction, so that, in this case, the warning may be omitted or is made less conspicuous (more softly, blinker noise, smaller, etc).

In one additional specific embodiment, the warning is delayed or undertaken using less intensity if the vehicle crosses a line in the direction of a lane which is known, e.g. from the information of a navigation system as a breakdown lane.

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### Abstract Of The Disclosure

A method and a device are proposed for driver information and for a reaction upon the leaving of the traffic lane by the vehicle. The diver warning and the driver intervention are a function, in this context, of the driving situation, especially of the type of an edge marking of the traffic lane.

(Figure 2)

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